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Under the Paperwork Reduction Act of 1995 TRANSMITTAL FORM (to be used for all correspondence after initial for the paper of Paper in This Submission 6	Art Unit Examiner Name Attorney Docket Number Art Unit Attorney Docket Number	atent and Trademark	Office; U.	PTO/SB/21 (09-04) nrough 07/31/2006, OMB 0651-0031 S. DEPARTMENT OF COMMERCE lisplays a valid OMB control number.
Total Number of Pages in This Submission		Abot combs)		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence of C	Address	Appeal of Appeal (Appeal Proprie	Enclosure(s) (please Identify
	TURE OF APPLICANT, ATTO	RNEY, OR AG	ENT	
Firm Name BioTechnology Law Group Signature Printed name Bruce Grant Date PA CEST. 2001		Reg. No. 47,60	В	
I hereby certify that this correspondence is b sufficient postage as first class mail in an enthe date shown below:	ERTIFICATE OF TRANSMISS eing facsimile transmitted to the USP velope addressed to: Commissioner for	O or deposited wit	h the Un x 1450, /	ited States Postal Service with Alexandria, VA 22313-1450 on
Signature Typed or printed name Bruce Grant	f -		Date	K LEPT 2800

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Examiner: SAKELARIS, Sally A.

Group Art Unit: 1623

Docket: SEQ-4065-UT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.:

ROTH et al

10/723,142

Filed:

November 25, 2003

Title:

November 25, 2003

METHODS FOR IDENTIFYING RISK OF BREAST CANCER AND

TREATMENTS THEREOF

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam,

In compliance with the duty under 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the Examiner's attention for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form SB08A be considered by the Examiner and made of record. Pursuant to MPEP 609, Applicants request that a copy of the SB08A form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication. Applicants also bring to the Examiner's attention the existance of the following co-pending related applications: (1) application no. 10/723,670 (Attorney Docket: SEQ-4067-UT), filed November 25, 2003, (2) application no. 10/723,681 (Attorney Docket: SEQ-4069-UT), filed November 25, 2003, (3) application no. 10/857,780 (Attorney Docket: SEQ-4069-UT), filed November 25, 2004, (5) application no. 10/722,939 (Attorney Docket: SEQ-4071-UT), filed November 25, 2003, and (6) application no. 10/723,683 (Attorney Docket: SEQ-4072-UT), filed November 25, 2003.

Serial No.: 10/723,142

Filing Date: November 25, 2003

Title: METHODS FOR IDENTIFYING RISK OF BREAST CANCER AND TREATMENTS THEREOF

Page 2 Dkt: SEQ-4065-UT

The listed documents are being submitted in compliance with 37 CFR § 1.97(b), before the mailing date of the first office action on the merits. Accordingly, it is respectfully submitted no fees are due.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

BioTechnology Law Group 658 Marsolan Ave.

Solana Beach, CA 92075

Telephone: (858) 623-9470

Fax: (858) 623-9476

Email: bruce@biotechnologylawgroup.com

Substitute to John 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Tank sheets as necessary)

PTO/SB/08A/08-03

Approved for use through 07/31/2008. OMB 0651-0031

US Patent & Tradement Office: U.S. DEPARTMENT OF COMMERCE

Under the Peperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known **Application Number** 10/723,142 **Filing Date** November 25, 2003 Roth, Richard **First Named Inventor Group Art Unit** 1623 SAKELARIS, Sally A. **Examiner Name** Attorney Docket No: SEQ-4065-UT

Sheet 1 of 1

Examiner Initials *	Cite No. ¹	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines,Where Relevant Passages or Relevant Figures Appear
	A1.	US 5,547,835	Aug-96	Koster	
	A2.	US 5,605,798	Feb-97	Koster	
	A3.	US 5,691,141	Nov-97	Koster	
	A4.	US 5,849,542	Dec-98	Reeve et al.	
	A5.	US 5,869,242	Feb-99	Kamb	
	A6.	US 5,928,906	Jul-99	Koster et al.	
	A7.	US 6,043,031	Mar-00	Koster et al.	
	A8.	US 6,194,144	Feb-01	Koster	
	A9.	US 6,258,538	Jul-01	Koster et al.	
	A10.				

		FORE	IGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines, Where Relevant Passages or Relevant Figures Appear	T ²
	A11.					

	OTHE	ER DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	A12.	Elbashir et al., Methods 26(2):199-213 (2002)	
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	A16.	Miki et al., Science, 266:66-71 (1994)	\dagger
	A17.	Wooster et al., Science, 265:2088-2090 (1994)	

EXAMINER

DATE CONSIDERED